

United States Department of Energy Radiation Safety Information Computational Center (RSICC) Software Export Control Agreement

UT-Battelle, LLC (UT-Battelle) manages and operates the Oak Ridge National Laboratory under its Prime Contract No. DEAC05-00OR22725 with the United States Department of Energy ("DOE"), an agency of the United States Government.

UT-Battelle intends to grant to the licensee identified below ("Licensee") a license to the RSICC Software also identified below (the "Software") under a separate Single User Software License.

As consideration for the license to the Software, Licensee hereby acknowledges and agrees to comply with all United States Export Control laws, regulations, and policies with respect to the Software and accompanying documents. Distribution of the Software or accompanying documents to any company or citizen of Cuba, Iran, North Korea, Sudan, and Syria is prohibited. Diversion contrary to U.S. law is prohibited.

Licensee represents and warrants that the "Intended Use" of the Software will not be directly or indirectly related to any one or more of the following areas:

- Military, Space Craft, Satellites, Missiles, and associated hardware, software or technical data unless <u>authorized and approved by U.S.</u>
 Government Sponsorship without Foreign Involvement
- Weapons of Mass Destruction or their precursors (Nuclear, Chemical & Biological)
- Activities subject to U.S. Department of Commerce prohibitions, including but not limited to those activities described in General Prohibitions (15 CFR Part 736) or Control Policy: End-User/End-Use based (15 CFR Part 744).

Licensee warrants that he/she is not identified or listed, and is not a citizen of a country identified or listed, in either the U.S. Government Consolidated Screening List (http://export.gov/ecr/eg_main_023148.asp) or any of the following:

- Entity List, U.S. Department of Commerce, 15 CFR Part 744, Supplement No. 4,
- The Denied Persons List, U.S. Department of Commerce, 15 CFR Part 764, http://www.bis.doc.gov/dpl/thedeniallist.asp
- Unverified List, U.S. Department of Commerce, http://www.bis.doc.gov/index.php/policy-guidance/lists-of-parties-of-concern/unverified-list
- Terrorist Supporting Countries, U.S. Department of Commerce, Part 740, Supplement No. 1, Country Group E.
- Specially Designated Nationals and Blocked Persons, Office of Foreign Assets Control (OFAC), U.S. Department of the Treasury, https://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx
- Lists of Parties Debarred for U.S. Arms Export Control Act (AECA) and/or U.S. International Traffic in Arms Regulations (ITAR) Convictions, http://www.pmddtc.state.gov/compliance/debar.html and http://www.pmddtc.state.gov/compliance/debar.html
- Nonproliferation Sanctions Bureau of International Security and Non-proliferation, http://www.state.gov/t/isn/c15231.htm

The Software falls under one or more of the following Export Control jurisdictions:

- United States Department of Energy (DOE) 10 CFR 810 (RELAP, MCNP, and MCNP derivative codes) For reference to DOE Regulations, please refer to: http://www.ecfr.gov/. In addition to the stated requirements of Part 810, it is the Department of Energy's Office of Nonproliferation and International Security (NA-24) policy to review and as appropriate authorize and approve requests (for all RSICC codes) from "Foreign Persons" from countries not listed in Appendix A to Part 810, or to those destinations.
- United States Department of Commerce Title 15, Commerce and Foreign Trade, Chapter VII B Bureau of Export Administration, Parts 730 through 774. For reference to the Commerce Regulations, please refer to: http://www.access.gpo.gov/bis/ear/ear_data.html.

Licensee represents and warrants that the certifications made by Licensee in the RSICC Registration Form and the Software/Data Order Form are true, accurate and complete.

References:

The references identified herein are not under the control of UT-Battelle and are subject to change. The links provided are directed to web sites that may provide relevant export control information and are provided only as a convenience to the Licensee.

(6/7/2016)

Software Package:	Software ID:
Name: (type or print)	Signature: (sign):
Date:	

Return Completed and Signed Agreement to:

UT-Battelle, LLC / RSICC Oak Ridge National Laboratory P.O. Box 2008 1 Bethel Valley Road Oak Ridge, TN 37831-6003

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